

Title	UNIQUENESS OF POSITIVE RADIAL SOLUTIONS OF $\Delta u + g(r)u + h(r)u^p = 0$ AND ITS APPLICATIONS (Global qualitative theory of ordinary differential equations and its applications)
Author(s)	SHIOJI, NAOKI; WATANABE, KOHTARO
Citation	数理解析研究所講究録 (2013), 1838: 64-70
Issue Date	2013-06
URL	http://hdl.handle.net/2433/194930
Right	
Type	Departmental Bulletin Paper
Textversion	publisher

UNIQUENESS OF POSITIVE RADIAL SOLUTIONS OF $\Delta u + g(r)u + h(r)u^p = 0$ AND ITS APPLICATIONS

横浜国立大学大学院工学研究院 塩路直樹 (NAOKI SHIOJI)
FACULTY OF ENGINEERING, YOKOHAMA NATIONAL UNIVERSITY

防衛大学校情報工学科 渡辺宏太郎 (KOHTARO WATANABE)
DEPARTMENT OF COMPUTER SCIENCE, NATIONAL DEFENSE ACADEMY

1. INTRODUCTION AND MAIN RESULTS

We consider the problem

$$(1.1) \quad \begin{cases} u_{rr} + \frac{n-1}{r}u_r + g(r)u + h(r)u^p = 0, & 0 < r < R, \\ u(0) \in (0, \infty), \quad u(R) = 0, \end{cases}$$

where $n \geq 2$, $R \in (0, \infty]$, $p \in (1, \infty)$ and $g, h : (0, R) \rightarrow \mathbb{R}$ are appropriate functions. Here, $u(R) = 0$ in the case $R = \infty$ means $u(x) \rightarrow 0$ as $|x| \rightarrow \infty$. Such a problem has been studied by many researchers; see [1, 3, 5, 8, 9, 12–18, 20–27, 30, 32–36] and others.

In this note, we introduce a result obtained in [28].

Theorem 1. *Let $0 < R \leq \infty$, $n \in \mathbb{R}$ with $n \geq 2$ and $p \in (1, \infty)$. Let $g \in C([0, R)) \cap C^1((0, R))$ and $h \in C^2([0, R)) \cap C^3((0, R))$ such that h is positive on $[0, R)$. with $R' = 0$. Assume the following.*

- (i) *In the case of $R < \infty$, $g \in C([0, R])$, $h \in C^2([0, R])$ and $h(R) > 0$ are also satisfied.*
- (ii) *There exists $\kappa \in [0, R]$ such that*

$$G(r) \geq 0 \text{ on } (0, \kappa) \quad \text{and} \quad G(r) \leq 0 \text{ on } (\kappa, R),$$

where

$$\begin{aligned} G(r) = & \frac{r^{\frac{2(n-1)(p+1)}{p+3}-3}}{2(p+3)^3 h(r)^{\frac{2}{p+3}+3}} \left(4(n-1)[n+2-(n-2)p][n-4+(n-2)p]h(r)^3 \right. \\ & + \left[2(n-1)(p-1)(p+3)^2 r^2 h(r)^3 - 4(p+3)^2 r^3 h(r)^2 h_r(r) \right] g(r) \\ & + (p+3)^3 r^3 g_r(r) h(r)^3 \\ & + (n-1)[(2n-3)p(6-p) + 6n-33] r h(r)^2 h_r(r) \\ & \left. + 3(n-1)(p-1)(p+5) r^2 h(r) h_r(r)^2 - 2(p+4)(p+5) r^3 h_r(r)^3 \right) \end{aligned}$$

$$\begin{aligned}
& -3(n-1)(p-1)(p+3)r^2h(r)^2h_{rr}(r) \\
& +3(p+3)(p+5)r^3h(r)h_r(r)h_{rr}(r) - (p+3)^2r^3h(r)^2h_{rrr}(r) \Big).
\end{aligned}$$

(iii) In the case of $R = \infty$, $G^- \not\equiv 0$ is satisfied.

Then in the case of $R < \infty$, problem (1.1) has at most one positive solution, and in the case of $R = \infty$, problem (1.1) has at most one positive solution u which satisfies $J(r; u) \rightarrow 0$ as $r \rightarrow \infty$, where

$$\begin{aligned}
a(r) &= r^{\frac{2(n-1)(p+1)}{p+3}} h(r)^{\frac{-2}{p+3}}, \\
b(r) &= \frac{r^{\frac{2(n-1)(p+1)}{p+3}-1}}{(p+3)h(r)^{\frac{p+5}{p+3}}} (2(n-1)h(r) + rh_r(r)), \\
c(r) &= \frac{r^{\frac{2(n-1)(p+1)}{p+3}-2}}{(p+3)^2h(r)^{\frac{2(p+4)}{p+3}}} \left(2(n-1)[n+2-(n-2)p]h(r)^2 + (p+5)r^2h_r(r)^2 \right. \\
& \quad \left. - (n-1)(p-5)rh(r)h_r(r) - (p+3)r^2h(r)h_{rr}(r) \right), \\
J(r; u) &= \frac{1}{2}a(r)u_r(r)^2 + b(r)u_r(r)u(r) + \frac{1}{2}c(r)u(r)^2 \\
& \quad + \frac{1}{2}a(r)g(r)u(r)^2 + \frac{1}{p+1}a(r)h(r)u(r)^{p+1}.
\end{aligned}$$

Remark 1. In [32, Theorems 2.1 and 2.2], Yanagida obtained a closely related result.

By the theorem above, we can obtain the following; see [13, Theorem 0.1].

Corollary 1 (Kabeya-Tanaka). *Let $n \in \mathbb{N}$ with $n \geq 2$. Let $p > 1$ and $g \in C^2([0, \infty))$ such that $-\infty < \inf_{r \in [0, \infty)} g(r) \leq \sup_{r \in [0, \infty)} g(r) < 0$, and set*

$$L = \frac{2(n-1)[(n-2)p+n-4]}{(p+3)^2} \quad \text{and} \quad \beta = \frac{2(n-1)(p-1)}{p+3}.$$

Assume that

$$g_r(r)r^3 + \beta g(r)r^2 - (\beta - 2)L < 0 \quad \text{for each } r \geq 0$$

in the case of $n = 2$, and that $p < (n+2)/(n-2)$ and

$$\sup_{r>0} (g_{rr}(r)r^2 + (3+\beta)g_r(r)r + 2\beta g(r)) < 0$$

in the case of $n \geq 3$. Then the problem

$$(1.2) \quad u \in H^1(\mathbb{R}^n), \quad \Delta u(x) + g(|x|)u(x) + u(x)^p = 0 \quad \text{in } \mathbb{R}^n$$

has a unique positive radial solution.

Next, we consider the problem

$$(1.3) \quad \begin{cases} u_{rrr}(r) + \frac{n-1}{r}u_r + g(r)u(r) + h(r)u(r)^p = 0, & R' < r < R, \\ u(R') = 0, & u(R) = 0. \end{cases}$$

The uniqueness of a positive solution of such a problem was studied in [4, 6, 7, 10, 11, 19, 24, 29–31].

The following is also obtained in [28].

Theorem 2. *Let $0 < R' < R \leq \infty$, $n \in \mathbb{R}$, $p \in (1, \infty)$, $g \in C([R', R]) \cap C^1((R', R))$, $h \in C^2([R', R]) \cap C^3((R', R))$ such that h is positive on $[R', R]$. Let a, b, c, G and J be the functions given in Theorem 1. Assume the following.*

- (i) *In the case of $R < \infty$, $g \in C([R', R])$, $h \in C^2([R', R])$ and $h(R) > 0$ are also satisfied.*
- (ii) *There exists $\kappa \in [R', R]$ such that*

$$G(r) \geq 0 \text{ on } (R', \kappa) \quad \text{and} \quad G(r) \leq 0 \text{ on } (\kappa, R).$$

Then in the case of $R < \infty$, problem (1.3) has at most one positive solution, and in the case of $R = \infty$, problem (1.3) has at most one positive solution u which satisfies $J(r; u) \rightarrow 0$ as $r \rightarrow \infty$.

Remark 2. For the case $h(r) \equiv 1$, a similar result is obtained by Felmer-Martínez-Tanaka; see [10, Theorem 1.1].

2. APPLICATIONS

In this section, we give examples of Theorem 1. First, we give a comment on the scalar field equation

$$\Delta u(x) - u(x) + u(x)^p = 0 \quad \text{in } \mathbb{R}^n, \quad u(x) \rightarrow 0 \quad \text{as } |x| \rightarrow \infty.$$

The unique existence of its positive solution was established by Kwong [18]. Since the uniqueness of its positive solution can be derived from Corollary 1, of course, it can be also done by Theorem 1.

Next, we consider the following Brezis-Nirenberg problem.

$$(2.1) \quad \begin{cases} \Delta_{S^n} u + \lambda u + u^p = 0 & \text{in } D, \\ u = 0 & \text{on } \partial D. \end{cases}$$

Here, n is a natural number with $n \geq 3$, S^n is the unit sphere in \mathbb{R}^{n+1} , Δ_{S^n} is the Laplace-Beltrami operator on S^n , $D = \{X \in S^n : X_{n+1} > \cos \theta_1\}$ with $\theta_1 \in (0, \pi)$,

$1 < p \leq (n+2)/(n-2)$ and $\lambda < \lambda_1$, where λ_1 is the first eigenvalue of $-\Delta_{S^n}$ on D with the Dirichlet boundary condition.

Let $P : S^n \setminus \{(0, \dots, 0, -1)\} \rightarrow \mathbb{R}^n$ be the stereographic projection defined by

$$P(X_1, \dots, X_n, X_{n+1}) = \frac{1}{X_{n+1} + 1} (X_1, \dots, X_n) \quad \text{for } X \in S^n \setminus \{(0, \dots, 0, -1)\}.$$

Then we can see $P(D) = B_R$, where $B_R = \{x \in \mathbb{R}^n : |x| < R\}$ with

$$R = \frac{\sin \theta_1}{1 + \cos \theta_1}.$$

Let u be a positive solution of (2.1) and define $v : \overline{B_R} \rightarrow \mathbb{R}$ by $u(P^{-1}x) = (1 + |x|^2)^{\frac{n-2}{2}} v(x)$ for $x \in \overline{B_R}$. Then we see that v is a positive solution of

$$\begin{cases} \Delta v + \frac{n(n-2) + 4\lambda}{(1 + |x|^2)^2} v + 4(1 + |x|^2)^{\frac{(n-2)p - (n+2)}{2}} v^p = 0 & \text{in } B_R, \\ v = 0 & \text{on } \partial B_R. \end{cases}$$

We set

$$g(r) = \frac{n(n-2) + 4\lambda}{(1 + r^2)^2} \quad \text{and} \quad h(r) = 4(1 + r^2)^{\frac{(n-2)p - (n+2)}{2}} \quad \text{for } r \geq 0.$$

We can see that G in Theorem 1 is given by

$$G(r) = \frac{2^{\frac{p-1}{p+3}}(n-1)}{(p+3)^3} r^{\frac{2(n-1)(p+1)}{p+3}-3} (1 + r^2)^{\frac{n+2-(n-2)p}{p+3}-3} (1 - r^2)(Ar^4 + Br^2 + A),$$

where

$$\begin{aligned} A &= (n-2)^2 \left(\frac{n+2}{n-2} - p \right) \left(p + \frac{n-4}{n-2} \right) \\ &= (p+3)[3n^2 - 6n - (n^2 - 4n + 4)p] - 8(n-1)^2, \\ B &= (p+3)[-6n^2 + 12n + (2n^2 + 4\lambda - 4)p + 2\lambda p^2 - 6\lambda - 12] + 16(n-1)^2. \end{aligned}$$

Then we can infer the following. For the details, see [28].

Theorem 3. *Let $n \in \mathbb{N}$ with $n \geq 3$, $1 < p \leq (n+2)/(n-2)$ and $\theta_1 \in (0, \pi)$. Assume that one of the following conditions:*

- (i) $\theta_1 \in (0, \pi/2]$ and $\lambda < \lambda_1$,
- (ii) $\theta_1 \in (\pi/2, \pi)$ and

$$\frac{6 + (6 - 4n)p}{(p+3)(p-1)} \leq \lambda < \lambda_1.$$

Then (2.1) has at most one positive radial solution. Moreover, if $\lambda \geq -n(n-2)/4$ is also satisfied, then (2.1) has at most one positive solution.

Remark 3. It holds that

$$\frac{6 + (6 - 4n)p}{(p + 3)(p - 1)} \leq -\frac{n(n - 2)}{4},$$

and if $p = (n + 2)/(n - 2)$ then the constants in the both sides in the inequality above coincide.

Remark 4. In the case of $n = 3$, Bandle-Benguria obtained a sharper result. For the details, see [2].

Remark 5. In the case of $R > 1$, we cannot apply Yanagida's uniqueness theorem [32, Theorem 2.1]. Indeed, by his notation, we have

$$G(r; n - 2) = \frac{2(4\lambda + n(n - 2))r^{n-1}(1 - r^2)}{(r^2 + 1)^3}.$$

So one of his assumptions $G(r; n - 2) \leq 0$ on $(0, R)$ is not satisfied even if $\lambda > -n(n - 2)/4$.

REFERENCES

- [1] Adimurthi and S. L. Yadava, *An elementary proof of the uniqueness of positive radial solutions of a quasilinear Dirichlet problem*, Arch. Rational Mech. Anal. **127** (1994), no. 3, 219–229.
- [2] C. Bandle and R. Benguria, *The Brézis-Nirenberg problem on S^3* , J. Differential Equations **178** (2002), 264–279.
- [3] C. C. Chen and C. S. Lin, *Uniqueness of the ground state solutions of $\Delta u + f(u) = 0$ in \mathbb{R}^n , $n \geq 3$* , Comm. Partial Differential Equations **16** (1991), no. 8-9, 1549–1572.
- [4] J. Cheng and L. Guang, *Uniqueness of positive radial solutions for Dirichlet problems on annular domains*, J. Math. Anal. Appl. **338** (2008), 416–426.
- [5] C. V. Coffman, *Uniqueness of the ground state solution for $\Delta u - u + u^3 = 0$ and a variational characterization of other solutions*, Arch. Rational Mech. Anal. **46** (1972), 81–95.
- [6] C. V. Coffman and M. Marcus, *Existence and uniqueness results for semi-linear Dirichlet problems in annuli*, Arch. Rational Mech. Anal. **108** (1989), no. 4, 293–307.
- [7] C. V. Coffman, *Uniqueness of the positive radial solution on an annulus of the Dirichlet problem for $\Delta u - u + u^3 = 0$* , J. Differential Equations **128** (1996), no. 2, 379–386.
- [8] L. Erbe and M. Tang, *Uniqueness of positive radial solutions of $\Delta u + f(|x|, u) = 0$* , Differential Integral Equations **11** (1998), 725–743.
- [9] ———, *Uniqueness of positive radial solutions of $\Delta u + K(|x|)\gamma(u) = 0$* , Differential Integral Equations **11** (1998), 663–678.
- [10] P. Felmer, S. Martínez, and K. Tanaka, *Uniqueness of radially symmetric positive solutions for $-\Delta u + u = u^p$ in an annulus*, J. Differential Equations **245** (2008), 1198–1209.
- [11] C.-C. Fu and S.-S. Lin, *Uniqueness of positive radial solutions for semilinear elliptic equations on annular domains*, Nonlinear Anal. **44** (2001), 749–758.
- [12] J. Jang, *Uniqueness of positive radial solutions of $\Delta u + f(u) = 0$ in \mathbb{R}^N , $N \geq 2$* , Nonlinear Anal. **73** (2010), 2189–2198.

- [13] Y. Kabeya and K. Tanaka, *Uniqueness of positive radial solutions of semilinear elliptic equations in \mathbf{R}^N and Séré's non-degeneracy condition*, Comm. Partial Differential Equations **24** (1999), 563–598.
- [14] Y. Kabeya, E. Yanagida, and S. Yotsutani, *Global structure of solutions for equations of Brezis-Nirenberg type on the unit ball*, Proc. Roy. Soc. Edinburgh Sect. A **131** (2001), 647–665.
- [15] M. A. Karls, *Uniqueness of positive radial solutions of $-\Delta u = f(u)$ on balls in \mathbf{R}^n* , Dynam. Contin. Discrete Impuls. Systems **5** (1999), 17–30. Differential equations and dynamical systems (Waterloo, ON, 1997).
- [16] N. Kawano, E. Yanagida, and S. Yotsutani, *Structure theorems for positive radial solutions to $\operatorname{div}(|Du|^{m-2}Du) + K(|x|)u^q = 0$ in \mathbf{R}^n* , J. Math. Soc. Japan **45** (1993), 719–742.
- [17] ———, *Structure theorems for positive radial solutions to $\Delta u + K(|x|)u^p = 0$ in \mathbf{R}^n* , Funkcial. Ekvac. **36** (1993), 557–579.
- [18] M. K. Kwong, *Uniqueness of positive solutions of $\Delta u - u + u^p = 0$ in \mathbf{R}^n* , Arch. Rational Mech. Anal. **105** (1989), 243–266.
- [19] M. K. Kwong and L. Q. Zhang, *Uniqueness of the positive solution of $\Delta u + f(u) = 0$ in an annulus*, Differential Integral Equations **4** (1991), 583–599.
- [20] M. K. Kwong and Y. Li, *Uniqueness of radial solutions of semilinear elliptic equations*, Trans. Amer. Math. Soc. **333** (1992), 339–363.
- [21] K. McLeod, *Uniqueness of positive radial solutions of $\Delta u + f(u) = 0$ in \mathbf{R}^n . II*, Trans. Amer. Math. Soc. **339** (1993), 495–505.
- [22] K. McLeod and J. Serrin, *Uniqueness of positive radial solutions of $\Delta u + f(u) = 0$ in \mathbf{R}^n* , Arch. Rational Mech. Anal. **99** (1987), 115–145.
- [23] W.-M. Ni, *Uniqueness of solutions of nonlinear Dirichlet problems*, J. Differential Equations **50** (1983), 289–304.
- [24] W.-M. Ni and R. D. Nussbaum, *Uniqueness and nonuniqueness for positive radial solutions of $\Delta u + f(u, r) = 0$* , Comm. Pure Appl. Math. **38** (1985), 67–108.
- [25] W.-M. Ni, *Uniqueness, nonuniqueness and related questions of nonlinear elliptic and parabolic equations*, Nonlinear functional analysis and its applications, Part 2 (Berkeley, Calif., 1983), Proc. Sympos. Pure Math., vol. 45, Amer. Math. Soc., Providence, RI, 1986, pp. 229–241.
- [26] L. A. Peletier and J. Serrin, *Uniqueness of positive solutions of semilinear equations in \mathbf{R}^n* , Arch. Rational Mech. Anal. **81** (1983), 181–197.
- [27] ———, *Uniqueness of nonnegative solutions of semilinear equations in \mathbf{R}^n* , J. Differential Equations **61** (1986), 380–397.
- [28] N. Shioji and K. Watanabe, *A generalized Pohožaev identity and uniqueness of positive radial solutions of $\Delta u + g(r)u + h(r)u^p = 0$* , preprint.
- [29] M. Tang, *Uniqueness of positive radial solutions for $\Delta u - u + u^p = 0$ on an annulus*, J. Differential Equations **189** (2003), 148–160.
- [30] S. L. Yadava, *Uniqueness of positive radial solutions of the Dirichlet problems $-\Delta u = u^p \pm u^q$ in an annulus*, J. Differential Equations **139** (1997), no. 1, 194–217.
- [31] ———, *Uniqueness of positive radial solutions of a semilinear Dirichlet problem in an annulus*, Proc. Roy. Soc. Edinburgh Sect. A **130** (2000), 1417–1428.

- [32] E. Yanagida, *Uniqueness of positive radial solutions of $\Delta u + g(r)u + h(r)u^p = 0$ in \mathbf{R}^n* , Arch. Rational Mech. Anal. **115** (1991), 257–274.
- [33] ———, *Uniqueness of positive radial solutions of $\Delta u + f(u, |x|) = 0$* , Nonlinear Anal. **19** (1992), 1143–1154.
- [34] E. Yanagida and S. Yotsutani, *Classification of the structure of positive radial solutions to $\Delta u + K(|x|)u^p = 0$ in \mathbf{R}^n* , Arch. Rational Mech. Anal. **124** (1993), 239–259.
- [35] ———, *Existence of positive radial solutions to $\Delta u + K(|x|)u^p = 0$ in \mathbf{R}^n* , J. Differential Equations **115** (1995), 477–502.
- [36] ———, *Global structure of positive solutions to equations of Matukuma type*, Arch. Rational Mech. Anal. **134** (1996), 199–226.